

# NEW DIMENSIONS

## WEALTH MANAGEMENT, LLC.

### THE FINANCIAL PLANNING PROCESS

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A financial plan is a continual work in progress. You should expect to update your financial plan anytime you need to make important financial decisions and/or at least annually to keep you on track.

During the financial planning process you will need to gather important financial documents such as the ones listed on the next page. Some of these documents will be helpful as you fill out the secure on-line questionnaire. Other documents will be reviewed as your financial plan is being created.

The financial planning process takes approximately 10 business days once you submit your completed questionnaire. The goal is to build something that helps you make decisions, so please do not hesitate to include as much information and as many questions as necessary.

Budgeting – more than 60% of American families do not have a working budget. Don't feel that it is necessary to create one if you don't already have one. If we know how much you earn and how much you save, the rest is what you spend.

Your financial plan will address the following areas:

1. Retirement Analysis
2. Insurance Analysis
3. Estate Planning
4. Tax Planning
5. Portfolio analysis – current and recommended portfolios
6. Employee benefits & education planning – if applicable
7. Everything else that's important to your financial plan

\*We do not provide tax or legal advice; however, we will coordinate with your CPA and Attorney to make sure your plan makes sense for you.

## FINANCIAL PLANNING CHECKLIST

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We will need copies of the following during the financial planning process:

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|-------------------------------|---|
| 1. Investment Statements      | We want to look at the actual statements to see how they are titled and the individual asset information such as price, quantity, cost and ticker symbol. |
| 2. Insurance policies         | Life, Health, Home & Auto, Umbrella, Long-term Care and Disability – just the disclosure page in most cases.  |
| 3. Tax return                 | Last filed.   |
| 4. Social Security Statements | Most recent.  |
| 5. Pension statements         | Government, Military, Corporate, Non-profit.  |
| 6. Estate Documents           | Wills, Trusts, Medical Powers, Ancillary Documents.   |
| 7. Beneficiary Designations   | Retirement Accounts, Insurance Policies.  |
| 8. Employee Benefits/Income   | Current paystub, list of employee benefits  |



Selected as two of the top Financial Planners in Dallas by *D Magazine*

## ABOUT US

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**NEW DIMENSIONS WEALTH MANAGEMENT** represents the culmination of a decade long quest to build a client-centric financial planning firm.

This much we know is true: The Wall Street business model is broken. But that doesn't mean capitalism stopped working, or financial planning for your future is no longer necessary. On the contrary, people need financial planning more than ever, but what they don't need is one-third of their investment return going to the financial industry in fees.

It's really very simple: reasonable fees + exceptional service = valuable financial advice

### **Lance Alston, CFP®**

#### *Education and Designations*

Certified Financial Planner (CFP®) designation

Masters of Arts, Economics, George Mason University, Fairfax, Virginia

Bachelors of Arts, International Business, University of Texas, Austin

#### *Other*

D Magazine "Best Financial Planners in Dallas"

Texas Monthly "5 Star Wealth Manager" Advisory Board, *Journal of Financial Planning*

Media Interviews & Articles – NPR, *Wall Street Journal*, *New York Times*, *Consumer*

*Reports*, *Dallas Morning News*, *Chicago Tribune*, *Baltimore Sun*, *Journal of Financial Planning* and *Kiplinger's*.

### **Tiffany Finney-Johnson, CFP®**

#### *Education and Designations*

Certified Financial Planner (CFP®) designation

Masters of Science, Personal Financial Planning, Texas Tech University, Lubbock

Bachelors of Business Administration in Finance, Texas Tech University, Lubbock

#### *Other*

D Magazine "Best Financial Planners in Dallas"

Texas Monthly "5 Star Wealth Manager"

***"Financial Planning shouldn't be so complicated,  
and it certainly shouldn't be so expensive."***